

# Arc-partitioning and vertex-ordering problems

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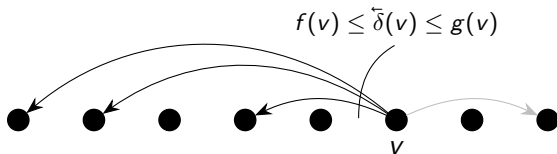
Math project III., 2024

# First two semesters: The $(f, g, \sum w)$ -FAS problem

## $(f, g; \sum w)$ -FAS problem

Let us given a digraph  $D = (V, A)$  with a weight function  $w : A \rightarrow \mathbb{R}_+$ , and lower and upper bound functions  $f : V \rightarrow \mathbb{R}_+$  and  $g : V \rightarrow \mathbb{R}_+$ . Does there exist an order of the vertices such that the left weighted out-degree of each vertex  $v$  is between  $f(v)$  and  $g(v)$ ?

In the unweighted case, the problem is called the  $(f, g)$ -FAS problem.



## Theorem

*The  $(-\infty, g, \sum w)$ -FAS problem, where only upper bounds are given on the vertices, is polynomial-time solvable.*

Algorithm: In each step, fix a vertex  $v$  which does not violate the upper bound  $g(v)$  on the last free place, and delete  $v$  from  $D$ .

If no such vertex exists at some point, then no solution exists.

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The case when only lower bounds are given can be solved similarly.

The previously discussed solvable cases become NP-complete after small modifications.

## Theorem

*The  $(f, g)$ -FAS problem is NP-complete if only upper bounds are given for all vertices except for a single vertex  $v$  for which  $f(v) = g(v)$ .*

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## Theorem

*The  $(-\infty, g; \sum w)$ -FAS problem is NP-complete if negative arc-weights are allowed.*

## Theorem

*A digraph can be partitioned into an in-branching and an acyclic subgraph if and only if the  $(-\infty, g)$ -FAS problem with  $g \equiv 1$  is solvable.*

# Partitioning into an in-branching and an acyclic subgraph

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## Theorem

*It is NP-hard to find a minimum-size in-branching whose complement is acyclic.*



# Rank aggregation

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For a candidate  $v$ , let  $d(v)$  denote the number of the candidates whom  $v$  precedes by the majority of the judges, but not in the common ranking.

We can minimize the maximum distance  $d(v)$  over all candidates by a reduction to the  $(-\infty, g)$ -FAS ordering problem.

## $(f, g; h)$ -ordering problem

For a finite ground set  $V$ , let us given a non-decreasing set-function  $h_v : 2^{V-v} \rightarrow \mathbb{R}$  for each item  $v \in V$ , and lower and upper bound functions  $f : V \rightarrow \mathbb{R}$  and  $g : V \rightarrow \mathbb{R}$ .

Does there exists an order  $\sigma$  of  $V$  such that, for each item  $v$ , the set  $\bar{\sigma}(v)$  of the items preceding  $v$  satisfies  $f(v) \leq h_v(\bar{\sigma}(v)) \leq g(v)$ ?

The cases where only upper or only lower bounds are given are polynomial-time solvable by modifying the algorithms given for the  $(f, g; \sum w)$ -FAS problem.



Let us given some jobs with

- $p(v)$ : processing time of  $v$
- $d(v)$ : due date of  $v$
- „precedence” constraints (not necessarily acyclic)
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Goal: minimize the maximum lateness.

Consider the  $(-\infty, g; h)$ -ordering problem with

$$h_v(V') = M(\delta(v, V') - g(v))^+ + (\sum_{u \in V'} p(u) + p(v) - d(v))^+ \\ g' \equiv K.$$

We can decide in polynomial time, whether there exists a schedule with maximum lateness  $K$ .

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Let us given an undirected graph  $G$ . Our goal is to find a vertex-order of  $G$  such that the left degree vector is as “equitable” as possible.

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- $\min_{v \in V} \sum_{v \in V} h(\vec{d}(v))$  problem: For a given strictly convex function  $h : \mathbb{Z}_+ \rightarrow \mathbb{R}$ , the goal is to minimize the  $\sum_{v \in V} h(\vec{d}(v))$  function.

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Each vertex-ordering problem above can be equivalently rephrased as an acyclic orientation problem.

# Egalitarian *orientation* problems

Let us given an undirected graph  $G$ . Our goal is to find an **orientation**  $D$  of  $G$  such that the indegree vector of  $D$  is as “equitable” as possible.

- dec-min problem: The goal is to lexicographically minimize the non-increasingly ordered indegree sequence.
- inc-max problem: The goal is to lexicographically maximize the non-decreasingly ordered indegree sequence.
- $\min_{v \in V} \sum_{v \in V} h(\varrho(v))$  problem: For a given strictly convex function  $h : \mathbb{Z}_+ \rightarrow \mathbb{R}$ , the goal is to minimize the  $\sum_{v \in V} h(\varrho(v))$  function.

## Theorem (Frank, Murota (2022))

*The optimal orientations coincide for the dec-min, the inc-max and the  $\min \sum_{v \in V} h(\varrho(v))$  orientation problems.*

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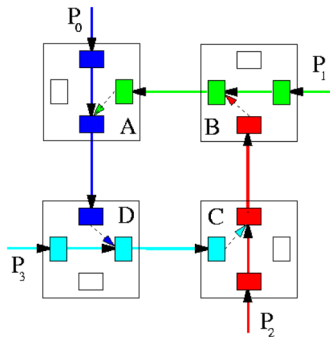
The  $\min \sum_{v \in V} h_v(\varrho(v))$  orientation problem is also solvable.

# Application: Routing protocol

Let us given a  $G=(V,E)$  undirected network, with capacities on the vertices, and packets with start and end destinations.

Such networks can suffer form deadlock if the packets are waiting in a cycle in which every vertex reached its capacity.

Routing protocol: Consider an order of the vertices, and forbid the packets to use the length-two paths formed by edges that are going to the left from the same vertex.

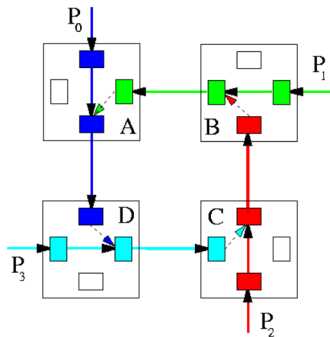


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- dec-min: Minimizes the maximum number of forbidden length-two paths for a vertex.
- $\min \sum_{v \in V} \bar{d}^2(v)$ : Minimizes the number of forbidden length-two paths.

# The complexities of the dec-min and inc-max problems

We call an order  $k$ -bounded, if every left degree is at most  $k$  (i.e. it is an  $(-\infty, g)$ -FAS order for  $g \equiv k$  ).

**Theorem (Borradaile, Iglesias, Migler, Ochoa, Wilfong, Zhang (2017))**

*The dec-min ordering problem is NP-hard, even in case of  $k$ -bounded orders for every odd  $k \geq 5$ .*

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The dec-min and the inc-max ordering problems coincide in case of 2-bounded orders, but the complexity remains open.

# The min $\sum_{v \in V} h(\vec{d}(v))$ ordering problem

Let us given a strictly convex function  $h : \mathbb{Z}_+ \rightarrow \mathbb{R}$  and an undirected graph  $G = (V, E)$ .

Goal: Finding an order that minimizes the objective function  $\sum_{v \in V} h(\vec{d}(v))$ .

Important strictly convex functions:

- $h(z) = |V|^z$ : dec-min ordering problem,
- $h(z) = |V|^{-z}$ : inc-max ordering problem,
- $h(z) = z^2$ .

The complexity of the  $\min \sum_{v \in V} h(\vec{d}(v))$  ordering problem

## Theorem

*For any strictly convex function  $h : \mathbb{Z}_+ \rightarrow \mathbb{R}$ , it is NP-hard to find a vertex order minimizing  $\sum_{v \in V} h(\vec{d}(v))$  in case of multigraphs.*

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### Theorem

*For any linear functions  $h_v : \mathbb{Z}_+ \rightarrow \mathbb{R}$  the problem of finding a vertex order minimizing  $\sum_{v \in V} h_v(\vec{d}(v))$  is polynomial-time solvable.*

# Exact dynamic program for $\min \sum_{v \in V} h_v(\vec{d}(v))$

Let  $f(V')$  denote the optimum for the graph induced by the vertex set  $V'$ .

$$f(V') = \min_{v \in V'} \{f(V' - v) + h_v(d(v, V'))\}$$

## Theorem

*We can compute the value of  $f(V')$  for every subset  $\emptyset \neq V' \subseteq V$  in non-decreasing order by  $|V'|$  in  $O(2^{|V|} \text{poly}(|V|, |E|))$  time, provided that the function  $h_v$  can be evaluated in polynomial time for each  $v \in V$ .*

# The $\min \sum_{v \in V} \overleftarrow{d}^2(v)$ ordering problem

Greedy algorithm: Fix a vertex with minimum degree at the last free place in the order and delete it from  $G$  until no vertex remains.

## Theorem

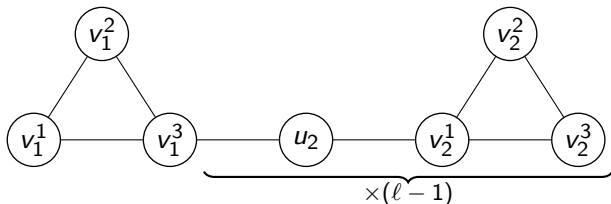
*The greedy order gives a  $\min\{4H_n, k_{\min}\}$ -approximate order, where  $H_n$  is the  $n^{\text{th}}$  harmonic number and  $k_{\min}$  denotes the smallest integer  $k$  for which the graph has a  $k$ -bounded order.*

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A simple graph, for which the greedy order is  $\frac{9}{7}$ -approximate if  $\ell \rightarrow \infty$ .

## “Balanced” vertex orders

The goal is to find a vertex order for which  $\vec{d}(v) \approx \bar{d}(v)$ .

A vertex order is perfectly balanced if  $|\vec{d}(v) - \bar{d}(v)| \leq 1 \forall v \in V$ .



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**Theorem (Biedl, Chan, Ganjali, Hajiaghayi, Wood (2005) and Kára, Kratochvíl, Wood (2005))**

*It is NP-hard to find a perfectly balanced vertex order, even for graphs with maximum degree 4.*

**Theorem (Biedl, Chan, Ganjali, Hajiaghayi, Wood (2005))**

*For graphs with maximum degree 3, we can find a perfectly balanced vertex order in polynomial time.*

# The max $\sum_{v \in V} \vec{d}(v) \overleftarrow{d}(v)$ problem

Goal: Find a vertex order maximizing  $\sum_{v \in V} \vec{d}(v) \overleftarrow{d}(v)$ .

## Corollary

*A max  $\sum_{v \in V} \vec{d}(v) \overleftarrow{d}(v)$  problem is NP-hard, even for graphs with maximum degree 4.*

## Theorem

*For graphs with maximum degree 3, we can find a vertex order maximizing  $\sum_{v \in V} \vec{d}(v) \overleftarrow{d}(v)$  in polynomial time.*

## Theorem

*A random vertex order is a 3-approximate solution in expectation.*

This estimate is sharp for a star with 3 leaves.

We gave a de-randomized 3-approximating algorithm.

- Partitioning a digraph into an in-arborescence and an acyclic subgraph.
- The complexity of the 2-bounded dec-min and inc-max problems.
- The complexity of the  $\min \sum_{v \in V} h(\vec{d}(v))$  problem
  - for simple graphs, and
  - for special graph classes (e.g. planar graphs).
- The approximation ratio of the greedy order for minimizing the square-sum of the left degrees.